

Official Licences and Registers

DIF BROKER – EMPRESA E INVESTIMENTO, SA ("DIF Broker") has as main activity the provision of the following services and investment activities: a) Receipt and transmission of orders on behalf of third parties; b) Execution of orders on behalf of others; c) Management of portfolios on behalf of others; d) Investment advice. It also provides the following auxiliary activities: a) Preparation of investment studies, financial analysis or other generic recommendations related to operations in financial instruments; b) Advice on capital structure, industrial strategy and related issues, as well as on mergers and acquisitions of companies; c) Registration and deposit of financial instruments.

Headquarters: Avenida 24 Julho, nº 74 a 76,1399 021 Lisbon, Portugal

Share capital: EUR 3.800.000,00

Email: suporte@difbroker.com

N.C.P.I: 504.767.640

DIF Broker is registered at the following Supervision Institutions:

1) In Portugal:

• Comissão de Valores Mobiliários ("CMVM") under the register 276 (https://web3.cmvm.pt/SDI/IFs/app/ficha-if.cfm?num-ent=%25%23TWU%21%2DT%20%0A)

2) In other EU country's

• In Spain through its branch - DIF BROKER SOCIEDAD FINANCIERA DE CORRETAGEM S.A., SUCURSAL EN ESPAÑA

(https://www.cnmv.es/portal/Consultas/ESI/ESISExtranjerasSuc.aspx?tipo=CSU&numero=3

6&vista=0&fs=20/01/2020):

Phone: 913540838

E-mail: info@difbroker.com

Address: Avenida de la industria 4. natea business park. ed 2, esc 2, 2ª de Alcobendas 28108 (Madrid)

N.I.F/C.I.F.: W-0102668-A

Object: Financial Intermediation

Registration: Constituição: TOMO 23765, Llvro 0, Fólio 1, Secção folha M-426487, Inscrição1; Registo

junto da CNMV: 36.

3) In other countries under the Freedom to Provide Services regime ("European Passport"):

Bulgaria, FSC: 19333/DIPR/2012/11067

Germany, BaFin: ID: 120502

Italy, Consob: No. 3663

Netherlands, AFM: 325663

Poland, KNF: <u>Here</u>

Romania: more info at www.FSC.bg

France, Bank France CIB: 72000

DIF Broker is a member of the Indemnity System for Investors (SII), which aims to guarantee that all clients' claims are covered, in case of non-compliance. To learn more, please consult the "SII" section at https://www.cmvm.pt/en/Investor area/SistemaDeIndemnizacaoAosInvestidores/EntidadesParticipant ess/Pages/Participating-Entities.aspx