



DIF BROKER

Official Licences and Registers

DIF BROKER – EMPRESA E INVESTIMENTO, SA (“DIF Broker”) has as main activity the provision of the following services and investment activities: a) Receipt and transmission of orders on behalf of third parties; b) Execution of orders on behalf of others; c) Management of portfolios on behalf of others; d) Investment advice. It also provides the following auxiliary activities: a) Preparation of investment studies, financial analysis or other generic recommendations related to operations in financial instruments; b) Advice on capital structure, industrial strategy and related issues, as well as on mergers and acquisitions of companies; c) Registration and deposit of financial instruments.

Headquarters: Avenida 24 Julho, nº 74 a 76, 1399 021 Lisbon, Portugal

Share capital: EUR 3.800.000,00

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DIF Broker is registered at the following Supervision Institutions:

1) In Portugal:

- **Comissão de Valores Mobiliários (“CMVM”)** under the register 276 (https://web3.cmvm.pt/SDI/IFs/app/ficha_if.cfm?num_ent=%25%23TWU%21%2DT%20%0A)

2) In other EU country's

- **In Spain** through its branch - DIF BROKER SOCIEDAD FINANCIERA DE CORRETAGEM S.A., SUCURSAL EN ESPAÑA (<https://www.cnmv.es/porta/Consultas/ESI/ESISExtranjerasSuc.aspx?tipo=CSU&numero=36&vista=0&fs=20/01/2020>):

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N.I.F/C.I.F.: W-0102668-A

Object: Financial Intermediation

Registration: Constituição: TOMO 23765, Llvro 0, Fólio 1, Secção folha M-426487, Inscrição1; Registo junto da CNMV: 36.

3) In other countries under the Freedom to Provide Services regime ("European Passport") :

Bulgaria, FSC: 19333/DIPR/2012/11067

Germany, BaFin: ID: 120502

Italy, Consob: No. 3663

Netherlands, AFM: 325663

Poland, KNF: [Here](#)

Romania: more info at www.FSC.bg

France, Bank France CIB: 72000

DIF Broker is a member of the Indemnity System for Investors (SII), which aims to guarantee that all clients' claims are covered, in case of non-compliance. To learn more, please consult the "SII" section at https://www.cmvm.pt/en/Investor_area/SistemaDeIndemnizacaoAosInvestidores/EntidadesParticipantes/Pages/Participating-Entities.aspx